

Electronic Acknowledgement Receipt

EFS ID:	7939510
Application Number:	10766965
International Application Number:	
Confirmation Number:	4387
Title of Invention:	System and method for avoiding transaction costs associated with trading orders
First Named Inventor/Applicant Name:	Thomas J. Daley
Customer Number:	63710
Filer:	Glen Farbanish/Laura Alto
Filer Authorized By:	Glen Farbanish
Attorney Docket Number:	04-6175
Receipt Date:	01-JUL-2010
Filing Date:	29-JAN-2004
Time Stamp:	15:59:52
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
------------------------	----

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	04-6175_100701_IDS.pdf	43409 0ab2d0e0525bed0519a44b861dffdc59d5f8d17	no	5

Warnings:

Information:

2	Foreign Reference	JP_2002-183446.pdf	2564964	no	37
			3ca5dcb07a07da52daf9beeb85c6314c372b6dbe9		
Warnings:					
Information:					
3	Foreign Reference	JP_2002-203112.pdf	1881679	no	26
			ecbbeaf72f16b3a9b36796caa79de23f6e0c4098		
Warnings:					
Information:					
4	Foreign Reference	JP_2003-058733.pdf	1231924	no	20
			9337b5be3fdddf705280e7f36a26b5e1c0e5a1d3		
Warnings:					
Information:					
5	Foreign Reference	JP_2003-058741.pdf	729591	no	11
			9199dc865c1916feb03cc89b186da7d8fc894dcf		
Warnings:					
Information:					
6	Foreign Reference	JP_2003-345987.pdf	1572339	no	19
			19692db6a0cb85d428e43af5c994cd3886c128ad		
Warnings:					
Information:					
7	NPL Documents	AU_2006278382_Exam_Report_07-09-2009.pdf	167281	no	3
			e3e512828a15e09eaed164bdbd566cfacbc33954		
Warnings:					
Information:					
8	NPL Documents	11-499833_NOA_03-12-2010.pdf	386360	no	9
			3c3f32ea1d17694baf0871077598cd08a1e1dad		
Warnings:					
Information:					
9	NPL Documents	New_AZX_Session_10-1997.pdf	36935	no	1
			3fac53cb2dba520ca22962ed9709d31ac96f7476		
Warnings:					
Information:					
10	NPL Documents	Harris_New_Trading_Landscape_05-2002.pdf	746214	no	13
			aa0f987582ae177f0f787c819f5d34cf9a9e9e85		
Warnings:					
Information:					

11	NPL Documents	Scientific_Technical_Info_Center_Search_Report_07-09-2009.pdf	4288663	no	88
			f5f74465e4c3517c1b82fc6e650d2a77d69586e6		
Warnings:					
Information:					
12	NPL Documents	PCT-US05-03164_IPER_01-09-2007.pdf	165867	no	4
			67ba3cc45e35ab449b31a13a3ffdd1027471fe1d		
Warnings:					
Information:					
13	NPL Documents	JP_2006-551589_OA_04-20-2010.pdf	162212	no	6
			f60558a46f9cff0b548e91ade13ca8cfe91cfa74		
Warnings:					
Information:					
14	NPL Documents	PCT-US05-03166_07-31-2006.pdf	184553	no	4
			645499b6989b8da00c7c506b4655dca9d28c16ca		
Warnings:					
Information:					
15	NPL Documents	JP_2006-551590_OA_04-20-2010.pdf	132970	no	6
			130dab78ead70d97bb84ad6b96ae33d6976b6ef0		
Warnings:					
Information:					
16	NPL Documents	PCT-US05-03163_IPER_01-23-2007.pdf	174307	no	5
			bab3ea10fff2517fbf54b8536ab8472109ebab2c		
Warnings:					
Information:					
17	NPL Documents	JP_2006551588_OA_04-27-2010.pdf	191748	no	8
			2d6bd83959a0fa28cec6de0b4215dfc9a21e2b6f		
Warnings:					
Information:					
18	NPL Documents	PCT-US05-03168_IPER_02-13-2007.pdf	156881	no	4
			890e3255a275a09d844bf28023eb1f11d5e8e408		
Warnings:					
Information:					
19	NPL Documents	JP_2006-551591_OA_04-20-2010.pdf	156775	no	6
			cb8934d8a2c0928854079a1b6ae5ef84d9a71fae		
Warnings:					
Information:					

20	NPL Documents	PCT- US05-03159_IPER_07-31-2006. pdf	281632	no	6
			469deab714ddb58fb94a276fb44ac80389b9d13a		
Warnings:					
Information:					
21	NPL Documents	EP_05712558_Supp_Search_Report_12-05-2008.pdf	75621	no	2
			54948d0f8bb959188080a6125cf898cb0fe45cea		
Warnings:					
Information:					
22	NPL Documents	JP_2006-551587_OA_04-20-2010.pdf	152907	no	6
			0749eff873b58ba667a0f391f525f5d8002b184b		
Warnings:					
Information:					
23	NPL Documents	PCT- US06-30638_IPER_02-05-2008. pdf	258477	no	5
			4d1c76bbcb4b04e0b02fb7246fe8e1c514e089		
Warnings:					
Information:					
24	NPL Documents	PCT- US06-30636_IPER_02-05-2008. pdf	270487	no	5
			2622e8e4553cb044f45a5bf095b24026dff1564c		
Warnings:					
Information:					
25	NPL Documents	Luskin_Options_and_Futures. pdf	359643	no	5
			8e2cb75e2f288b718151b76b7185dfe139049054		
Warnings:					
Information:					
26	NPL Documents	Notice_from_EPO_Concerning_Business_Methods_10-01-2007.pdf	43094	no	2
			a3751b0396d10c2d05ad3450dfe6e617e50f0e56		
Warnings:					
Information:					
27	NPL Documents	EP_05712558-5_Comm_Office_Action_05-18-2010.pdf	210343	no	6
			2305054bd8f0295bdbfb1fb9e07d6081148cdb52		
Warnings:					
Information:					
28	NPL Documents	11-499496_NOA_05-26-2010. pdf	121699	no	4
			1f261425bcb52943d750ce4db1a8125233700f61		
Warnings:					
Information:					

29	NPL Documents	EP_05712562-7_Comm_Office _Action_05-18-2010.pdf	242797 a8901469da467f15d0960f86d80fd934c491ff7	no	6
Warnings:					
Information:					
30	NPL Documents	EP_05712563-5_Comm_Office _Action_05-18-2010.pdf	248667 e4ef863c9e478d0cb93a56ccabc1c169620a0812	no	6
Warnings:					
Information:					
31	NPL Documents	10-767588_NOA_06-25-2010. pdf	320093 dab158f14ab318b6021234c9c9656fc6a64f066f	no	7
Warnings:					
Information:					
32	Information Disclosure Statement (IDS) Filed (SB/08)	04-6175_100701_1449.pdf	39523 44e12c48f527fc8f086d86a00c67aaec1e2e7653	no	3
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
Total Files Size (in bytes):			17599655		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					